

BPM FINANCIAL SERVICES INDUSTRY PRACTICE



ALIGNING WITH THE RIGHT FIRM

The financial services sector is facing a rapid increase in scrutiny and more risks than ever before. With transparency in the forefront of investors' minds and the critical eye of the public focused on compliance and accountability, it is vital to choose an accounting firm that understands your business inside and out.

THE LARGEST CALIFORNIA-BASED CPA FIRM

Since BPM was founded in 1986 and served its first audit client, a local financial services firm, we've grown to become the largest California-based CPA firm. With full-service capabilities, we possess in-depth knowledge of the financial services industry—its key processes, challenges surrounding growth and performance, regulatory compliance and governance, and the many other complex accounting and reporting issues it faces.

YOUR DEDICATED FINANCIAL SERVICES TEAM

We offer more than just deep industry knowledge. By maintaining a devotion to our core services, we employ a multidisciplinary approach tailored to your every need. And with offices throughout the Bay, our responsiveness is unmatched, maximizing efficiency. BPM's commitment to client service is world-class. We ensure that your assigned team is accessible and well versed in innovative solutions. In short, we value our financial services clients and view it as a privilege to serve the sector.

We Proudly Serve

- Broker Dealers
- Venture Capital
- Investment Banks
- Asset Managers
- Private Equity Funds
- Hedge Funds
- Registered Investment Advisors
- Mortgage Bankers
- Insurance Brokerage
- Community Banks



Listing of Services

Audit / Review / Compilation

Tax Compliance

Tax and Estate Planning

Due Diligence on Acquisitions

Outsourced Accounting Services

Fund Administration

FINRA Compliance Assistance

Valuation of Portfolio
Companies / Investments

Derivatives Valuation

Agreed Upon Procedures



“We wanted to establish a partnership with our accounting firm. After experiencing the full-service capabilities of BPM, who we engaged to provide assurance and tax services, we couldn’t be more satisfied.”

Kevin Mello, CFO
Bridgescale Partners

“We selected BPM as our audit firm due to their technical expertise and reputation for exceptional client service. During the audit, we found their organized approach and understanding of our company very helpful. The process was efficient and effective and their follow-through has been superior.”

Peter Coleman, CFO
Merriman Curhan Ford
Group, Inc.

“I value the relationship we have with BPM. They are prompt about getting back to me with answers that are accurate and on-point. They take a personal interest in our business.”

Jonathan Fayman, CFO
W.R. Hambrecht + Co.

THE BPM FINANCIAL SERVICES VALUE PROPOSITION

Why choose BPM?	What this means to you.
Industry Expertise	<ul style="list-style-type: none"> • Dedicated group of professionals who understand your business. • We stay abreast of new laws and regulations affecting your industry.
Regulation/Compliance Insight	<ul style="list-style-type: none"> • Thought leadership that provides strategies to help you better manage your business. • Business partner at your side to assist with the complex regulatory environment. • We focus on operations, cost savings, regulatory matters and overall “best practices.”
Responsive	<ul style="list-style-type: none"> • Our local decision making creates an environment where you get answers when you need them. • We focus our attention on your business and the significant developments in order to avoid costly surprises and meet regulatory deadlines.
World Class Service	<ul style="list-style-type: none"> • We understand that professional service is a privilege. • We treat you, your business and your financial matters with the utmost respect and urgency. • We offer a range of services beyond your traditional accounting firm.
Consistent Engagement Team	<ul style="list-style-type: none"> • BPM is an employee-owned corporation (ESOP) • We have one of the lowest turnover rates in the industry • You can avoid the hassle of explaining your business every year.



WHY CHOOSE BPM?

Locations in San Francisco, San Jose, Palo Alto, Walnut Creek, Novato and Santa Rosa mean there’s a BPM office close to your business. And while you get fast response because we are based locally, we are ready to act globally on a moment’s notice. With our international expertise, BPM can support your business anywhere in the world. We’re uniquely equipped to deliver an intelligent, efficient and service-driven approach that generates

insights to help you run a smarter, more successful business. To get started, visit us on the web at www.bpmcpa.com, or call 1-866-807-0951.